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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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| TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i> | Application Number | 09/835040 ✓ |
| | Filing Date | 04/13/2001 |
| | First Named Inventor | J. Roberts |
| | Group Art Unit | N/A |
| | Examiner Name | N/A |
| Total Number of Pages in This Submission | Attorney Docket Number | M0000-1001 |

| ENCLOSURES (check all that apply) | | |
|--|---|--|
| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): PTO Form 1449 Copies of references cited |
| Remarks | | |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | |
|--|----------------------|
| Firm or Individual name | Robert Plotkin, Esq. |
| Signature | |
| Date | 05/14/2001 |

| CERTIFICATE OF MAILING | |
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| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 05/14/2001 | |
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ATTORNEY'S DOCKET NO: **M0000-1001**

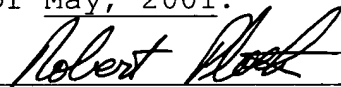
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: J. Roberts
Serial No: 09/835040
Filed: 04/13/2001
For: Method and Apparatus for Force-Based Touch Input
Examiner: N/A
Art Unit: N/A
Batch No: N/A

18 Westminister Street
Somerville, MA 02144
May 14, 2001

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Assistant Commissioner for Patents, Washington, D.C. 20231, on the 14th day of May, 2001.



Signature

Assistant Commissioner for Patents
Washington, D.C. 20231

STATEMENT FILED PURSUANT TO THE DUTY OF
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

To Whom It May Concern:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed:

1. within three months of the filing date of a National Application; and
2. before the mailing date of a first Office Action on the merits in the above-identified case.

No fee or certification is required.

PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449.

The order of presentation of the references should not be construed as an indication of the importance of the references.

PART III: Remarks

A copy of each of the above-identified information is enclosed unless otherwise indicated on the attached form PTO-1449. It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been

performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

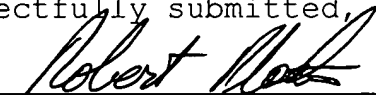
By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,

By:


Robert Plotkin, Esq.
Registration No. 43,861
18 Westminster Street
Somerville, MA 02144
(617) 591-2111

Docket No. **M0000-1001**
Dated: 5/14/2001



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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known

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| Application Number | 09/835040 |
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| Filing Date | 04/13/2001 |
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| First Named Inventor | J. Roberts |
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| Group Art Unit | N/A |
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| Examiner Name | N/A |
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| Attorney Docket Number | M0000-1001 |
|------------------------|------------|

Sheet 1 of 3

U.S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | U.S. Patent Document | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|--------------------|-----------------------|----------------------|--------------------------------------|---|---|--|
| | | Number | Kind Code ² (if known) | | | |
| | 1 | 3,657,475 | B1 | Peronneau et al. | 04/18/1972 | |
| | 2 | 4,089,036 | B1 | Geronime | 05/09/1978 | |
| | 3 | 4,121,049 | B1 | Roeber | 10/17/1978 | |
| | 4 | 4,340,777 | B1 | DeCosta et al. | 07/20/1982 | |
| | 5 | 4,355,202 | B1 | DeCosta et al. | 10/19/1982 | |
| | 6 | 4,389,711 | B1 | Hotta et al. | 07/21/1983 | |
| | 7 | 4,484,179 | B1 | Kasday | 11/20/1984 | |
| | 8 | 4,495,434 | B1 | Diepers et al. | 01/22/1985 | |
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| | 10 | 4,542,375 | B1 | Alles et al. | 09/17/1985 | |
| | 11 | 4,550,384 | B1 | Kimura | 10/29/1985 | |
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| | 16 | 4,771,277 | B1 | Barbee et al. | 09/13/1988 | |
| | 17 | 4,775,765 | B1 | Kimura et al. | 10/04/1988 | |
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| | 20 | 4,893,115 | B1 | Blanchard | 01/09/1990 | |

FOREIGN PATENT DOCUMENTS

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Examiner
Signature

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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| Sheet | 2 | of | 3 |
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| Application Number | 09/835040 |
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| Group Art Unit | N/A |
| Examiner Name | N/A |
| Attorney Docket Number | M0000-1001 |

U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

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Examiner
Signature

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| Date | |
| Considered | |

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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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